

Announcement of changes in the responsibilities map
at SMBC Seoul Branch

Sumitomo Mitsui Banking Corporation Seoul Branch announces the changes in the responsibilities map in accordance with Article 7, Paragraph 2 of the 'Act on the Governance of Financial Companies' and Article 3, Paragraph 1 of 'the Regulations on the Supervision of Governance of Financial Companies'.

1. Responsibilities Map Status

(as of 2026/4/1)

Position	Name	Appointment Date	Term	Date of Responsibility Change	Responsibilities Before Change	Responsibilities After Change	Eligibility Criteria Compliance
General Manager, Personal Information Protection Officer, Chief Derivatives Officer	Tetsuji Ueno	2025.4.1	Until the Occurrence of Causes for Dismissal	2026.4.1	<ul style="list-style-type: none"> ■ Responsibilities Associated with Credit, Deposit, Domestic Exchange and Foreign Exchange Businesses [Limited to Financial Institutions and Structured Financing] ■ Responsibilities Associated with OTC Derivatives Dealing and Foreign Exchange Business ■ Responsibilities Associated with Treasury Management Business ■ Responsibilities Associated with Internal Control of Organization in Charge 	<ul style="list-style-type: none"> ■ <u>Responsibilities Associated with Accountability for Management including Internal Control</u> ■ <u>Responsibilities Associated with the Preparation and Maintenance of Responsibilities Map</u> ■ <u>Responsibilities related to credit approval and post-disbursement follow-up</u> ■ <u>Responsibilities Associated with the Protection of Personal Information</u> ■ Responsibilities Associated with Credit, Deposit, Domestic Exchange and Foreign Exchange Businesses [Limited to Financial Institutions and Structured Financing] 	Suitable (Meets the qualifications for executive positions as defined by law, has no disqualifications, and possesses the necessary expertise, work experience, integrity, and reliability to perform the duties assigned.)

						<ul style="list-style-type: none"> ■ Responsibilities Associated with OTC Derivatives Dealing and Foreign Exchange Business ■ Responsibilities Associated with Treasury Management Business ■ Responsibilities Associated with Internal Control of Organization in Charge 	
Managing Director, Chief Risk Officer	Masato Aoki	2025.5.26	Until the Occurrence of Causes for Dismissal	2026.4.1	<ul style="list-style-type: none"> ■ Responsibilities Associated with Risk Management ■ Responsibilities Associated with Credit Risk Management ■ Responsibilities Associated with HR and Remuneration ■ Responsibilities Associated with Internal Control of Organization in Charge 	<ul style="list-style-type: none"> ■ Responsibilities Associated with Risk Management ■ Responsibilities Associated with Credit Risk Management ■ Responsibilities Associated with HR and Remuneration ■ <u>Responsibilities Associated with Prudence and Financial Management</u> ■ Responsibilities Associated with Internal Control of Organization in Charge ■ 	Suitable (Meets the qualifications for executive positions as defined by law, has no disqualifications, and possesses the necessary expertise, work experience, integrity, and reliability to perform the duties assigned.)
Managing Director, Chief Information Administrator	Seung Hyun, Jeon	2025.4.1	Until the Occurrence of Causes for Dismissal	2026.4.1	<ul style="list-style-type: none"> ■ Responsibilities Associated with Protection of Credit Information ■ Responsibilities Associated with Transfer of Clients' Funds and Support of Credit Transactions ■ Responsibilities Associated with General Administration ■ <u>Responsibilities Associated with Prudence and Financial Management</u> 	<ul style="list-style-type: none"> ■ Responsibilities Associated with Protection of Credit Information ■ Responsibilities Associated with Transfer of Clients' Funds and Support of Credit Transactions ■ Responsibilities Associated with General Administration ■ Responsibilities Associated with Internal Control of Organization in Charge 	Not applicable (Reduced scope of the responsibilities)

					<ul style="list-style-type: none"> ■ Responsibilities Associated with Internal Control of Organization in Charge 		
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(as of 2026/5/29)

Position	Name	Appointment Date	Term	Date of Responsibility Change	Responsibilities Before Change	Responsibilities After Change	Eligibility Criteria Compliance
General Manager, Personal Information Protection Officer	Tetsuji Ueno	2025.4.1	Until the Occurrence of Causes for Dismissal	2026.5.29	<ul style="list-style-type: none"> ■ Responsibilities Associated with Accountability for Management including Internal Control ■ Responsibilities Associated with the Preparation and Maintenance of Responsibilities Map ■ Responsibilities related to credit approval and post-disbursement follow-up ■ Responsibilities Associated with the Protection of Personal Information ■ <u>Responsibilities Associated with Credit, Deposit, Domestic Exchange and Foreign Exchange Businesses [Limited to Financial Institutions and Structured Financing]</u> ■ <u>Responsibilities Associated with OTC Derivatives</u> 	<ul style="list-style-type: none"> ■ Responsibilities Associated with Accountability for Management including Internal Control ■ Responsibilities Associated with the Preparation and Maintenance of Responsibilities Map ■ Responsibilities related to credit approval and post-disbursement follow-up ■ Responsibilities Associated with the Protection of Personal Information ■ Responsibilities Associated with Internal Control of Organization in Charge 	Not applicable (Reduced scope of the responsibilities)

					<u>Dealing and Foreign Exchange Business</u> <ul style="list-style-type: none"> ■ <u>Responsibilities Associated with Treasury Management Business</u> ■ <u>Responsibilities Associated with Internal Control of Organization in Charge</u> 		
Managing Director, Chief Derivatives Officer	Yuji Kawasaki	2026.5.29	Until the Occurrence of Causes for Dismissal	2026.5.29	<ul style="list-style-type: none"> ■ Responsibilities Associated with Credit, Deposit, Domestic Exchange and Foreign Exchange Businesses [Limited to Financial Institutions and Structured Financing] ■ Responsibilities Associated with OTC Derivatives Dealing and Foreign Exchange Business ■ Responsibilities Associated with Treasury Management Business ■ Responsibilities Associated with Internal Control of Organization in Charge 	<p>Suitable (Meets the qualifications for executive positions as defined by law, has no disqualifications, and possesses the necessary expertise, work experience, integrity, and reliability to perform the duties assigned.)</p>	

2026. 4. 1.

Sumitomo Mitsui Banking Corporation, Seoul Branch