

List of Door-to-Door and Telemarketing Sales Personnel

Pursuant to Article 16-2 of Financial Consumer Protection Act (FCPA), customers may verify the identity of employees of SMBC Seoul Branch who are authorized to engage in door-to-door sales and telemarketing.

These employees are qualified professionals who have met the applicable qualification requirements and completed the required training to offer the Bank's financial products through door-to-door sales or telemarketing, collectively referred to below as "Door-to-Door Sales, etc.".

Before commencing Door-to-Door Sales, etc., our employees will provide customers with the following information in writing, by electronic document, or orally:

1. Purpose of the visit or telephone call is to solicit the purchase of a financial product;
2. Employee's name and department, and the type and details of the financial product being offered; and
3. Customer's right to request the Bank to refrain from visiting or contacting the customer for the purpose of soliciting or entering into a contract, together with the methods and procedures for exercising the right

Unless requested by the customer, the Bank will not visit or contact the customer between 9:00 p.m. and 8:00 a.m. the following day for the purpose of introducing a financial product or soliciting the customer to enter into a contract.

List of Door-to-Door and Telemarketing Sales Personnel

Employee Verification: 02-6364-7001

Department	Name	Financial Products			Licensed Financial Investment Personnel
		Deposit	Loan	Investment	
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Seung Yeub OH	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Yon Se JUNG	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Hyun Woo LIM	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Da Som LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Seon Kyung LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Seung Ho LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Jeong Ho PARK	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Jae Young YEO	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Hyun Woo SO	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Ye Ji KIM	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK1), (JBAP(GK1))	Seung Hyeon JI	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Han Jun NAE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Taein HWANG	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Sook YOON	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Jung Hyun LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Woo Jin LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (GK2), (JBAP(GK2))	Yu Sup LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Kentaro SHIBA	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Ja Hun KOO	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Chun Sung KIM	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Sunga LEE	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Ju Young JEON	O	O		
Japanese and Korean Corporate Banking Dept., Asia Pacific Division (SOL), (JBAP(SOL))	Yoshitake SUGIURA	O	O		
Corporate Banking Dept., Asia Pacific Division (SOL), (CBAP(SOL))	Hye Sun LIM	O	O		
Global Markets & Treasury Dept., Asia Pacific Division(SOL), (GMTAP(SOL))	So Young SHIM			O	Certified Derivatives Investment Advisor
Global Markets & Treasury Dept., Asia Pacific Division(SOL), (GMTAP(SOL))	Eun Chong HWANG			O	Certified Derivatives Investment Advisor
Global Markets & Treasury Dept., Asia Pacific Division(SOL), (GMTAP(SOL))	Youn Hee PARK			O	Certified Derivatives Investment Advisor
Global Markets & Treasury Dept., Asia Pacific Division(SOL), (GMTAP(SOL))	Se Na HAN			O	Certified Derivatives Investment Advisor
Global Markets & Treasury Dept., Asia Pacific Division(SOL), (GMTAP(SOL))	Eun Seo BANG			O	Certified Derivatives Investment Advisor

Global FIG Department (SOL), (GFIG(SOL))	Jeonghye PARK	O	O		
Global FIG Department (SOL), (GFIG(SOL))	Say One PARK	O	O		
Global FIG Department (SOL), (GFIG(SOL))	Sua LEE	O	O		
Global FIG Department (SOL), (GFIG(SOL))	Young Hyun LEE	O	O		
Global FIG Department (SOL), (GFIG(SOL))	Ji Seon AN	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Eana KIM	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Ivan PARK	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Min Young JUNG	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Seh Eun LEE	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Beom Jin KIM	O	O		
Structured Finance Dept., Asia Pacific (SOL) Specialised Products Dept., Asia Pacific (SOL), (SFDA(SOL) & SPDA(SOL))	Sung Min LEE	O	O		
Capital Markets Dept., Asia Pacific (SOL), (CMA(SOL))	Min Hyuk PARK	O	O		
Global Trade Finance Department (SOL), (GTFD(SOL))	Chang Yup LEE		O		
Global Trade Finance Department (SOL), (GTFD(SOL))	Kyung Won LEE		O		
Global Trade Finance Department (SOL), (GTFD(SOL))	Soo Jung CHANG		O		
Global Trade Finance Department (SOL), (GTFD(SOL))	Hyun Joon PARK		O		
Corporate Solutions Group, (CSG)	Dong Hyun RYU				